A LODGE ACTIVE	Attorney Docket No.		ASC-022CPCN				
UTILITY DATENT APPLICATION	First Named Invento		Wu				
PATENT APPLICATION	Title		ETCH STOP LAY	FR SYSTEM			
TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	1100		D. 011 01 01 2.11	DR 01012	603852		
(Only to new nonprovisional approximations and the control of the					22		
APPLICATION ELEMENTS		ADDRESS TO: Mail Stop Patent Application					
				/A 22313-1450	10/		
1. E Fee Transmittal Form					21		
2. Small Entity Status		ACCOMPANYING APPLICATION PARTS					
☐ Applicant claims small entity status☐ Status established in prior application and	is still	ACCOMPANTING APPLICATION PARTS					
proper and desired							
3. Specification and Drawings [Total Pages 62]		8. 37 CFR 3.73(b) Statement (when there is an assignee)					
Cover Sheet - (1 page)Written Description - (31 pages)		Power of Attorney					
- Claims - (14 pages)	ŀ	9. English Translation Document (if applicable)					
- Abstract - (1 page)	Ĺ						
- Sheets of Drawings - (15 sheets)		10. 🔲 In		Statement (IDS)/PTO-14	149		
☐ Informal 4. ☐ Oath or Declaration [Total Pages]			Copies of IDS Ci eliminary Amendmen				
a. Newly executed (original)		11. W					
b. Copy from a prior application (37 CFI	≀	-		•			
1.63(d)) (for continuation/divisional with Box 17 ca	omnlatad)						
(for continuation/aivisional with Box 17 co)mipieieu,	12. 🛛 Re	eturn Receipt Postcar	d (specifically itemized)			
5. Incorporation by Reference (usable if Box 4b is		13. Certified Copy of Priority Document(s) (if foreign priority					
The entire Disclosure of the prior application, which a copy of the oath or declaration is supp		claimed)					
under Box 4b, is considered as being part of the		14. Nonpublication Request Under 35 U.S.C. 122(b)					
disclosure of the accompanying application an		_		,	´		
hereby incorporated by reference therein.		15 🗆 CI	D'a desilianta for lar	(-1-1			
6. Application Data Sheet7. Nucleotide and/or Amino Acid Sequence Subr	mission	15. ☐ CD in duplicate for large table or computer program 16. ☒ Other:					
Computer Readable Form (CRF)		- Power of	of Attorney by Assignee of Entire Interest and Revocation				
Paper Copy (identical to computer cop			Powers and New Power of Attorney				
CD (2 copies) (identical to computer or Statement verifying identity of above c			al of Formal Drawing rawings (15 sheets)	gs			
17. ☐ If a CONTINUING APPLICATION: Amer				fore the first line, the sen	tence:		
This is a	_	-					
continuation of prior application Serial No.							
application Serial No. 09/289,514 filed April 9, 1999, which claims priority from provisional application Serial No. 60/081,301, filed April 10, 1998, the entire disclosure of which is incorporated by reference herein							
Priority to the above application(s) is c	laimed und	er 35 U.S.C.	120.				
Prior application information: Examiner: Group/Art Unit:							
18. Priority - 35 U.S.C. 119 Priority of application Serial No. 60/081,301 filed on April 10, 1998 in the U.S. Patent and Trademark Office is claimed							
under 35 U.S.C. 119.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	n Apin 10, 1	770 III the O.S. 1 a.c.	ill ditt Hadeilark Office.	is claimed		
The certified copy has been filed in	in prior U.S.	application	Serial No/	on			
The certified copy will follow.		CICNATUDE DI OCY					
CORRESPONDENCE ADDRESS Direct all correspondence to: Patent Administrator		SIGNATURE BLOCK Respectfully submitted,					
Testa, Hurwitz & Thib	eault, LLP		27 2003	Mespectrumy submitted			
High Street Tower	•		ine 25, 2003 44 381	Natasha C. Us	<u>~</u>		
125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000		Reg. No. 44,381 Natasha C. Us Tel. No.: (617) 310-8327 Attorney for the Applicants					
			Fax No.: (617) 248-7100 Testa, Hurwitz & Thibeault, LLP				
Fax No.: (617) 248-71	00			High Street Tower 125 High Street			
Customer No. 021323				Boston, MA 02110			

Express Mail Mailing Label No. EV289511652US

FEE TRANSMITTAL FY 2003

Complete if Known					
Application Serial Number	Not yet assigned				
Filing Date	Herewith				
First Named Inventor	Wu				
Group Art Unit	Not yet assigned				
Examiner Name	Not yet assigned				
Attorney Docket No.	ASC-022CPCN				

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. A Payment Enclosed:	3. ADDI	TIONAL FE	EES	
Check Money Order Other	Large	Small		
	Entity	Entity		
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description Fee Paid	
or charge any fee indicated below for this submission	(\$)	(\$)		
to Deposit Account No. 20-0531.				
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath	
Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee	
1.17.			or cover sheet	
Overpayment Credit.	130	130	Non-English specification	
3. Applicant claims small entity status.	2,520	2,520	Request for ex parte reexamination	
FEE CALCULATION	110	55	Extension for reply within first month	
1. FILING FEE	410	205	Extension for reply within second	
Large Entity	930	465	month Extension for really within third month	
	1450		Extension for reply within third month	
. Fee (\$) Fee Description Fee Paid	1430	725	Extension for reply within fourth month	
	1970	985	Extension for reply within fifth month	
, 750 Utility filing fee 750.00	320	160	Notice of Appeal	
330 Design filing fee	320	160	Filing a brief in support of an appeal	
160 Provisional filing fee	280	140	Request for oral hearing	
-	130	130	Petitions to the Commissioner	
	180	180	Submission of Information Disclosure	
Number Number Rate Amount			Statement	
Filed Extra	750	375	Filing a submission after final	
	1		rejection (37 CFR 1.129(a))	
Total Claims 121 $-20 = 101$ x \$ $18.00 = 1.818.00$	750	375	For each additional invention to be examined (37 CFR 1.129(b))	
Independent	100	100	Certificate of Correction for	
Claims $10 - 3 = 7 \times \$84.00 = 588.00$			applicant's error	
	Other fee (Sp	ecify)		
Multiple Dependent Claim(s), if any \$280.00 =	Other fee (Sp	ecify)		
TOTAL: 3,156.00				
SMALL ENTITY DISCOUNT: SUBTOTAL (1) (\$) 3,156.00	ł			
2. AMENDMENT CLAIM FEES	1			
Claims Highest No. Present Rate Fee Paid	ł		SUPPOTAL (2)	
Remaining Previously Extra			SUBTOTAL (3) (\$) 0.00	
After Amend. Paid For				
	ŀ			
Total - = x \$ 18.00 =			SUBTOTAL (1) 3,156.00	
Indep = x \$ 84.00 =	SUBTOTAL (2) 0.00			
First Presentation of Multiple Dep. + \$280.00 =			SUBTOTAL (3) 0.00	
	 			
TOTAL: (\$) SMALL ENTITY DISCOUNT: (\$)				
SUBTOTAL (2) (\$) 0.00			TOTAL (\$) 3,156.00	
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK			
Direct all correspondence to:			Respectfully submitted,	
Patent Administrator	Date: June 2	5 2003	netal C. Ls	
Testa, Hurwitz & Thibeault, LLP	Date: June 25, 2003 Reg. No.: 44,381 Natasha C. Us			
High Street Tower-125 High Street	Tel. No.: (617) 310-8327 National C. US Attorney for the Applicants			
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PATENT

Attorney Docket No. ASC-022CPCN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Wu et al.

SERIAL NO.:

Not yet assigned

GROUP NO.:

Not yet assigned

FILING DATE:

Herewith

EXAMINER:

Not yet assigned

TITLE:

ETCH STOP LAYER SYSTEM

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Transmitted herewith for filing in the above-referenced patent application are fifteen (15) sheets of formal drawings.

Respectfully submitted,

Date: June 25, 2003

Reg. No. 44,381

Natasha C. Us

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